

Commonwealth of Massachusetts
Executive Office of Public Safety and Security
Office of Grants & Research

**Improving Recidivism Outcomes:
Evidence-Based Programs and Promising Practices in the
Administration of the Criminal and Juvenile Justice Systems,
Corrections, and Supervision**

Program Implementation Bidders Conference Call

January 21, 2015
2:00 pm - 3:30 pm



Charles D. Baker
Governor

Karyn E. Polito
Lieutenant Governor

Andrea J. Cabral
Secretary

Ellen J. Frank
Executive Director

Evidence-Based Program (EBP) Grant Team

- Ellen Frank, Executive Director
Office of Grants and Research
- Diane DeAngelis, Director
Justice and Prevention Division
- Lisa Sampson, Director
Research and Policy Analysis Division
- Patricia Bergin, Research and Policy Analyst
- Sara DeConde, Mass. Results First Analyst
- Monique Bertic, Mass. Government Innovation
Fellow

Key Dates

- Application Posted: Monday, January 12, 2015
- Mandatory Bidders Webinar: January 21, 2015 from 2:00 - 3:30 pm
- Written Questions Deadline: Tuesday, January 27, 2015, 5:00 pm
- Written Answers to Questions Posted: by Friday, January 30, 2015
- Notice of Intent due Friday, January 30, 2015
- Applications Due: Friday, February 13, 2015 by 4:00 pm
- Award Announcements: On or about Friday, March 27, 2015
- Grant Period: On or about April 17, 2015 through December 31, 2016

Introduction

The Executive Office of Public Safety and Security (EOPSS) and the Massachusetts state legislature has made available approximately \$3.8 million for this grant initiative.

- Supported by FY2015 state funds authorized by Ch. 165 of the Massachusetts Act of 2014.
- Supplemented by Edward Byrne Memorial Justice Assistance Grant (JAG) program funds.
- To support *evidence-based programs* and *promising practices* for improving *recidivism* outcomes.

Program Background

CH. 165§8000-1000 EVIDENCE-BASED PROGRAMMING GRANTS

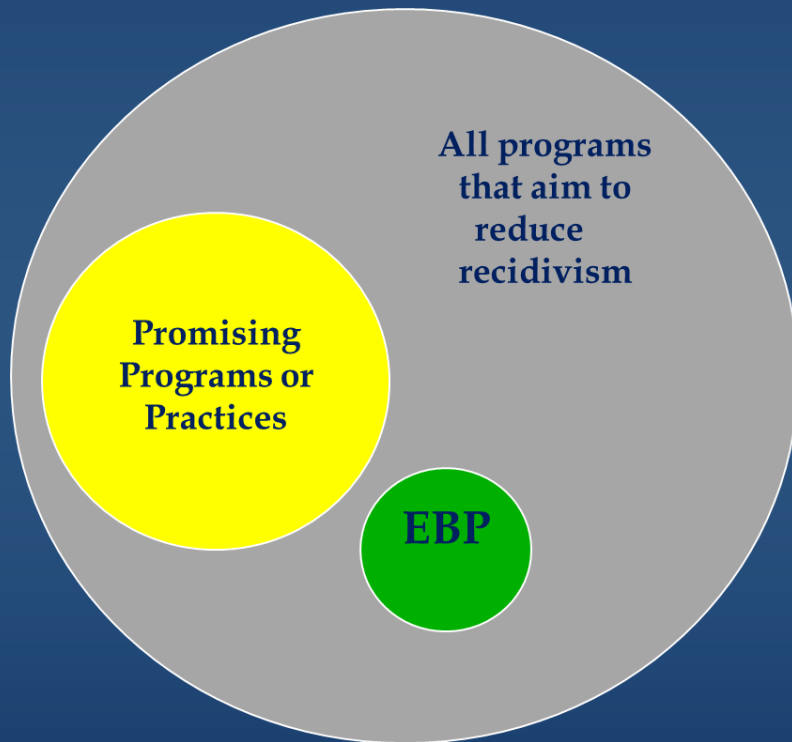
For a competitive grant program to be administered by the executive office of public safety and security to **pilot or expand new or current innovative and evidence-based approaches for improving recidivism outcomes**; provided, that eligible applicants shall include executive branch, judicial branch and other county and statewide criminal justice agencies including, but not limited to, the department of correction, the houses of correction, the office of the commissioner of probation, the parole board, the district attorneys' offices, the department of youth services and the committee on public counsel services; provided further, that **the office shall limit awards to applicants that clearly and effectively demonstrate: (i) a current or proposed program or practice that is evidence-based or research-based or that is considered a promising practice, to be more specifically defined by the executive office of public safety and security in the application for grant funding; (ii) efforts to ensure quality implementation; and (iii) a commitment to independent evaluation of outcomes**; provided further, that **eligible applicants shall complete a comprehensive inventory of all current programs and practices**, in a manner to be determined by the executive office of public safety and security, to be considered eligible for funding; and provided further, that grant recipients shall make a written commitment to expand the percentage of evidence-based programming currently delivered.

Program Background

JUSTICE ASSISTANCE GRANT (JAG)

The JAG Program, administered by the U.S. Department of Justice, Bureau of Justice Assistance, and authorized under Public Law 109-162, is the leading source of federal justice funding to state and local jurisdictions. The JAG Program provides Massachusetts and other states, tribes, and local governments with critical funding to support a range of program areas including law enforcement, prosecution and court, prevention and education, corrections and community corrections, drug treatment and enforcement, planning, evaluation, and technology improvement, and crime victim and witness initiatives. The Executive Office of Public Safety and Security's Office of Grants and Research (OGR) is the State Administering Agency (SAA) for JAG funds awarded to the Commonwealth of Massachusetts.

Background



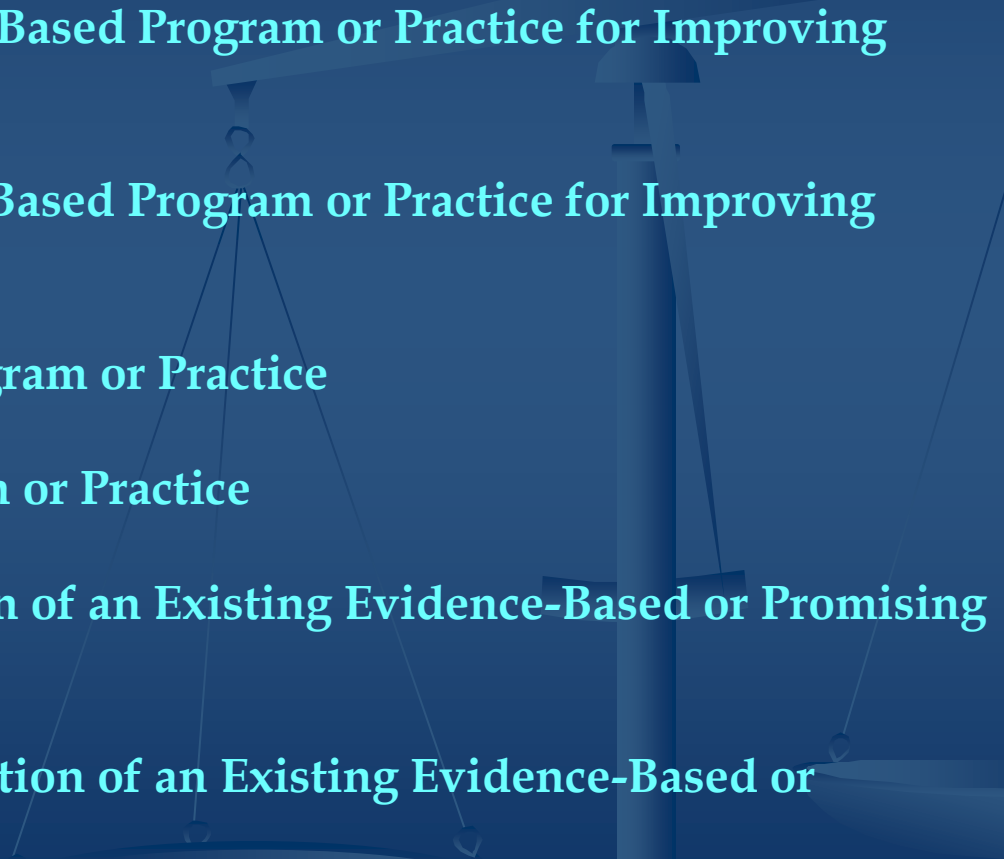
- Since 2012, EOPSS and the Special Commission to Study the Criminal Justice System have been engaged with the Pew-MacArthur Results First Initiative (Project of the Pew Charitable Trusts and the John D. and Catherine T. MacArthur Foundation)
- EOPSS has been collaborating on *Massachusetts Results First* with multiple criminal justice agencies including the Mass. Trial Court, Probation, Parole, DOC, county Sheriffs' departments, and DYS.
- Massachusetts Results First's main goal is to produce a cost-benefit analysis of criminal justice programming in Massachusetts, which is critical to improving performance and providing the best return on taxpayer investments.

Applicant Eligibility

- Eligible applicants include the executive branch, judicial branch, and other county and statewide criminal justice agencies, including, but not limited to, the Department of Correction, the sheriffs' offices, the Office of the Commissioner of Probation, the Parole Board, the district attorneys' offices, the Department of Youth Services, and the Committee on Public Counsel Services. Any single applicant may submit just one application in response to this announcement of the Availability of Grant Funds (AGF).
- No more than one application will be awarded per agency.

Allowable Purpose Areas

Applicants may submit one proposal for improving recidivism outcomes from one of the six purpose areas.

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- 1) Implement a New Evidence-Based Program or Practice for Improving Recidivism Outcomes
 - 2) Expand a Current Evidence-Based Program or Practice for Improving Recidivism Outcomes
 - 3) Implement a Promising Program or Practice
 - 4) Expand a Promising Program or Practice
 - 5) Conduct a Process Evaluation of an Existing Evidence-Based or Promising Program or Practice
 - 6) Conduct an Outcome Evaluation of an Existing Evidence-Based or Promising Program or Practice

Evidence-Based or Promising Programs or Practices

Standards of Research Rigor

*Evidence of Effectiveness
(in clearinghouse database)*



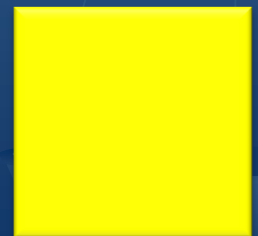
Evidence-Based Programs and Practices

A program or practice with levels of effectiveness determined as a result of rigorous evaluation such as randomized controlled trials, statistically controlled evaluations that incorporate strong control or comparison group designs, or a single large multi-site randomized study. Typically, these programs have specified procedures that allow for successful replication.



Promising Programs and Practices

A program or practice that meets the "evidence-based" or "research-based" criteria based upon preliminary information, statistical analyses, or a well-established theory of change. Promising programs and practices have been tested using less rigorous research designs that do not meet the evidence-based or research-based standard. These programs and practices typically have a well-constructed logic model or theory of change.



Resources to Determine “Evidence-Based” or Promising” Programs or Practices

Results First Clearinghouse Database – one-stop online resource with information from eight clearinghouses allowing for a user-friendly comparison of ratings.

- Only those programs with top two highest ratings will be considered.

<http://www.pewtrusts.org/en/research-and-analysis/issue-briefs/2014/09/results-first-clearinghouse-database>

WSIPP’s ranked list of evidence-based programs and practices with a high likelihood of producing more benefits than costs – recidivism reduction AND cost effective.

<http://www.wsipp.wa.gov/BenefitCost>

Results First Clearinghouse Database

Name of the intervention evaluated

National clearinghouse ratings

Select program area from drop down menu

Program area	Intervention	Blueprints for Healthy Youth Development (child welfare and juvenile justice)	California Evidence-Based Clearinghouse for Child Welfare (child welfare)	Coalition for Evidence-Based Policy (social policy)	Crime Solutions.gov (criminal justice)	National Registry of Evidence-based Programs and Practices (substance abuse and mental health)
Mental health: adult	Acceptance and Commitment Therapy		1: Well-supported			3.7
Adult criminal justice	Amity In-Prison Therapeutic Community				Promising	
Substance abuse: adult	Brief Alcohol Screening and Intervention of College Students	Model			Effective	3.3
Juvenile justice	Family Centered Treatment		3: Promising research evidence			2.2

Results First Clearinghouse Database User Guide:

http://www.pewtrusts.org/~media/Assets/2014/09/Results_First_Clearinghouse_Database_User_Guide.pdf?la=92

Evaluation

Evidence-Based Program or Practice *Promising Program or Practice*

PROCESS EVALUATION

- Focuses on program implementation and operation
- Determine the degree to which an intervention was implemented as planned
- Extent to which it reached the targeted participants
- Provides the tools to monitor quality
- Provides information needed to make adjustments

OUTCOME EVALUATION

- Measures the change that has occurred as a result of a program or practice
- Demonstrates empirically that an intervention is achieving its goals

Preparing Your Budget

Please keep in mind the following when preparing your proposed budget:

- Supplanting is strictly prohibited. Funds for programs and/or service supported by this grant are intended to supplement, not supplant, other state or local funding.
- The maximum allowable rate for Consultants is \$650 per day/\$81.25 per hour.

Unallowable Costs

- No grant funds may be spent for the following:
- Food or beverages for programming, training, conferences or staff meetings;
- Prizes, rewards/entertainment/trinkets (or any type of monetary incentive);
- Gift cards;
- Clothing;
- Construction; office furniture, or other like purchases;
- Vehicles;
- Luxury items;
- Real estate;
- Twelve-Step Recovery programs.

Application Requirement and Submission Process

Hard Copy Submission

- Attachment A: Application Template
 - Signed by agency's authorizing official or their designee
 - One original and three (3) copies of entire application packet
- Attachment B: Budget Excel Worksheet Form (Summary and Details sheets)
- Attachment C: Memorandum of Understanding (applicable if sub-granting all or part of the requested funds to an implementing agency or independent contractor). Label as Attachment C.

Electronic Submission

- E-mail completed Application Template as a **PDF - not a scan**, Budget Excel Worksheet and Attachment C (if applicable) electronically to eopssebp@state.ma.us

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Award Determinations and Notification

- All funding decisions are at the discretion of the Secretary of Public Safety and Security.
- Award amounts will be determined based on the following:
 - Availability of funds
 - Amount of funding requested
 - Compliance with the application process
 - Satisfactory review of projects and budget requests.
- EOPSS reserves the possibility of continued funding of projects subject to secretariat priorities, availability of federal and state funds, grant compliance, and program achievements.

DUNS and SAM

- Subrecipients receiving federal funding must have a DUNS number (Data Universal Numbering System) and maintain an active registration in the System for Award Management (SAM). Information about registering in SAM can be found at www.sam.gov.

Post-Award Grant Compliance Requirements

✓ Program Inventory

- Start or expand inventory of agency's current programs and practices.

✓ Written Commitment

- Expand the number of evidence-based programs or practices currently delivered or increase the number of eligible participants.

✓ Quarterly Financial and Programmatic Reports

✓ Program Fidelity

- Remaining faithful to the original program design; not making changes or adaptations during implementation.

Post-Award Grant Compliance Requirements (continued)

✓ Program Inventory

For each program or practice intended to reduce recidivism:

Program Inventory Components	Examples		
List of Programs and Basic Information	Name of program and description	Oversight agency and service providers	Duration and frequency
Participant and Capacity Information	Primary participant population	# of participants served in FY	Annual capacity
Budget Information	Program budget in FY	Funding source	Annual cost per participant

Post-Award Grant Compliance Requirements (continued)

✓ Implementation Oversight and Fidelity Monitoring

- Program Design
 - Written guidelines
 - Program checklists
 - Observation
- Program Delivery
 - Practitioner performance assessments
 - Program Evaluation
- Participant Responsiveness
 - Standardized self-reporting questionnaires
 - Structured participant interviews
 - Participant observation

Question and Answer Portion

- Participants written questions during webinar are answered.
- Please email any future questions to:
eopssebp@state.ma.us

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THANK YOU



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